



DUVALL WEALTH & TAX PLANNING

PRIVACY POLICY

Joshua Duvall Financial Planning & Accounting, LLC (“Advisor”), a Registered Investment Advisor, doing business as Duvall Wealth & Tax Planning, currently registered in the state of New Jersey, considers client privacy to be a fundamental aspect of our client relationships. We are committed to maintaining the confidentiality, integrity, and security of our current, prospective and former clients’ personal information.

In the course of providing you with investment advisory and financial planning services, we may collect, retain, and use client information for the purpose of administering our operations, providing client service, and complying with legal and regulatory requirements. This information may come from sources such as account applications, investment policy statements, from your transactions, and other forms from other written, electronic or verbal correspondence from your brokerage, attorney, accountant or other advisor you may employ.

We do not sell, exchange or disclose client information with outside organizations unless the third party is essential in administering our operations or except as required or permitted by law. No confidential information, whatever the source, regarding any customer or client, may be disclosed except the following: We reserve the right to disclose or report personal information where we believe in good faith that disclosure is required under law, to cooperate with regulators or law enforcement authorities.

Advisor reserves the right to disclose information with other Advisor employees in connection with the Advisor’s business and to non-affiliated third parties with whom the Advisor has a contractual agreement to jointly offer, endorse or sponsor a financial product or service; and to service and maintain customer accounts including effectuating a transaction. Advisor may disclose information about client or client’s account to a non-affiliated third party at client’s written request.

Finally, to further safeguard client information digitally, we maintain password protected systems, updated antivirus and antispyware software, and encrypted software portals and cloud based storage systems, as is standard in digital security.

If you have any questions related to this privacy policy or the use and security of your personal nonpublic information, please contact Joshua Duvall by phone or email.